Traceability and Full Mutual Recognition: Coffee

Rainforest Alliance webinar

February 2022
Agenda

• Reminders for Coffee Supply Chain Actors
• Introduction to Coffee Traceability and Full Mutual Recognition
• DEMO: Coffee Traceability in MultiTrace
  • As a farm certificate holder
  • As a trader/processor
  • Account management changes
• Wrap-up

Please add your questions in the chat.
Useful links and supporting materials

We have created a hub website on ra.org for Coffee Sector Supply Chain Actors:

- Transition Guidance for Coffee Sector Supply Chain Actors

There you will be able to find all relevant links including:

- Video recording and presentation from this webinar (available soon here)
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Further questions? Contact CustomerSuccess@ra.org
Reminders for Supply Chain Actors

1. Supply Chain Requirements
2. Transition Milestones
What is part of Supply Chain certification?

**Annexes (binding)**
- Annex S1 – Glossary
- Annex S3 – Risk Assessment for gender and A&A topics
- Annex S4 – Remediation Protocol
- Annex S6 – Traceability
- Annex S14 – Shared Responsibility

**Guidances (Currently A – R, non-binding)**
- Guidance E – Grievance Mechanism
- Guidance F – Gender equality
- Guidance L – Assess & Address Guide
- Guidance R – Assess & Address Monitoring Tool

For support on implementation check out our general guide for the implementation of the Sustainable Agricultural Standard and the Shared responsibility page on our website containing a.o. SD / SI guidance documents for cocoa, coffee & bananas.
## Supply Chain Requirements

<table>
<thead>
<tr>
<th>Chapter 1: Management</th>
<th>Chapter 2: Traceability</th>
<th>Chapter 3: Income and shared responsibility</th>
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<tbody>
<tr>
<td>Chapter 4: Farming</td>
<td>Chapter 5: Social</td>
<td>Chapter 6: Environment</td>
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Chapter 4: not applicable to CHs without farming in scope
Key Upcoming Dates in the Transition Timeline

2021
✓ Registration opened in the Rainforest Alliance Certification Platform (RACP)
✓ Audits to pre-merger standards ended, and transition audits started
✓ Licenses granted for both Farm CH and Supply Chain CH
✓ 2020 SAS volumes traded in MultiTrace

2022
✓ January 31: Full Mutual Recognition in MultiTrace went into effect
  • April (est): Coffee Data migration from Marketplace to MultiTrace
  • June 30: End of Transition Period audits (remote only, core requirements, 1 year validity)
  • July 1: Start of full scale (3-year) certification cycle audits
  • December 31: Final deadline for obtaining a Certificate and License to Trade
## Supply Chain CH Transition Milestones

<table>
<thead>
<tr>
<th>Milestone</th>
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<tr>
<td><strong>Register</strong> in the Rainforest Alliance Certification Platform (RACP)</td>
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<tr>
<td><strong>Confirm scope</strong> (including all crops, locations, subcontractors) and generate list of applicable requirements</td>
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<tr>
<td>Request and sign OR upload existing <strong>2020 License Agreement</strong></td>
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<tr>
<td>Receive Supply Chain Risk Assessment results and <strong>Verification steps</strong> (via email from RA)</td>
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<td>Complete <strong>Self-Assessment</strong></td>
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<tr>
<td>Undergo an <strong>Audit</strong></td>
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<td>Close all non-conformities <strong>OR</strong> RA reviews license/ endorsement request</td>
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<tr>
<td>The CB takes certification decision <strong>Close all non-conformities</strong></td>
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<tr>
<td>Obtain a <strong>2020 SAS certificate and/or endorsement</strong></td>
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<tr>
<td>Receive a <strong>license</strong> to use the RA Traceability Platform</td>
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**Note:** Transition audits will only take place until **June 30;** for any transition audit, a company must register and confirm scope before **April 1.**
Introduction: Coffee traceability and Full Mutual Recognition
Traceability requirements

Key information on traceability requirements

• To trade volumes of Rainforest Alliance Certified crops, you need a valid license to trade.
• All buying and selling of Rainforest Alliance Certified volumes are recorded in the online traceability platform, at the latest two weeks after the end of the quarter.
• Traceability Reporting entails: sales, conversions, confirmation, redeeming / removing of the certified product.
• First buyers must record SD and SI separately in the traceability system.
• Physical movement of goods to any entity *not* within the scope of the CH (including subcontractors or warehouses) *and* involved in processing must be announced in the traceability system.
• Traceability extends to Retailers (*further guidance will be forthcoming*)

Further information

• Detailed information on traceability requirements is available on our website: the 2020 Sustainable Agriculture Standard, Annex s6: Traceability, and the Guidance Document on Traceability.
Traceability Systems: Key Takeaways

- All coffee traceability will be in MultiTrace.
- All CH will get new accounts in MultiTrace when they receive their RA 2020 SAS license.
- Due to Full Mutual Recognition, now all MultiTrace Accounts are able to transact RA 2020 SAS volumes and UTZ volumes. At this time, traceability reporting for legacy RA 2017 volumes remains in Marketplace.
- After Marketplace Coffee Data Migration (April est.) RA 2017 Accounts and volumes will move to MultiTrace. All MultiTrace accounts will be able to transact all 3 standards.
- Once a Supply Chain CH obtains their 2020 License to Trade, they will consolidate their volumes from legacy accounts into their new account associated with their 2020 license.
Interim Account Management and Traceability
Volumes from pre-merger and 2020 programs traced in their respective systems

If you have a **RA 2020 SAS License**: ID#: RA_000000000

- You can receive and transact both RA 2020 and UTZ volumes in MultiTrace (access via RACP)
  - RA 2020 volumes require payment of SD/SI by first buyer; must be recorded in MultiTrace
  - UTZ volumes require payment of premium by first buyer; must be recorded in MultiTrace
- You will transfer any volumes from pre-merger accounts to this account

If you have a **UTZ Standard License**: ID#: ME01_00000

- You can receive and transact both RA 2020 and UTZ volumes in MultiTrace
  - RA 2020 volumes require payment of SD/SI by first buyer; must be recorded in MultiTrace
  - UTZ volumes require payment of premium by first buyer; must be recorded in MultiTrace
- Once you receive your RA 2020 SAS license, you will transfer any volumes from this legacy UTZ account to your new RA 2020 account

If you have a **Rainforest Alliance 2017 Standard License**: ID#: RA00000

- You can receive and transact RA 2017 volumes in Marketplace
  - RA 2017 volumes do not carry premium requirements
- After data migration from Marketplace to MultiTrace (est April), all RA 2017 volumes will be transacted in MultiTrace
- Once you receive your RA 2020 SAS license, and data migration is complete, you will transfer any volumes from your legacy RA 2017 account to your new RA 2020 account
Marketplace Data Migration and traceability

• Coffee accounts and volumes will be migrated from Marketplace to MultiTrace. This is planned for April (est).
• Before the migration, Marketplace users will be asked to perform basic account maintenance.
• MultiTrace system training sessions will be offered, especially targeting Marketplace users.
• After a RA 2020 SAS account is established, users will transfer volumes from their legacy account (migrated from Marketplace) to their new RA 2020 SAS account.
What changes can you expect to see in the system?

• More **efficiency and transparency** in a system designed to support the implementation of our 2020 certification program

• Volumes from all three programs are considered as “Rainforest Alliance” volumes. The **original standard will not be visible**, regardless of traceability level.

• **Premium and/or SD/SI requirements** for the First Buyer will apply, based on the original Farm CH license.

• Supply chain actors can **mix different standard volumes** in MultiTrace; there is no need to segregate stock positions based on the standard (UTZ, RA 2017, RA 2020)

• Royalty/Program **fee invoicing** will be consolidated and will move to a monthly (after April 2022).

• **Practical enhancements** such as excel uploads for bulk transactional reporting and user settings to auto-confirm transactions.
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