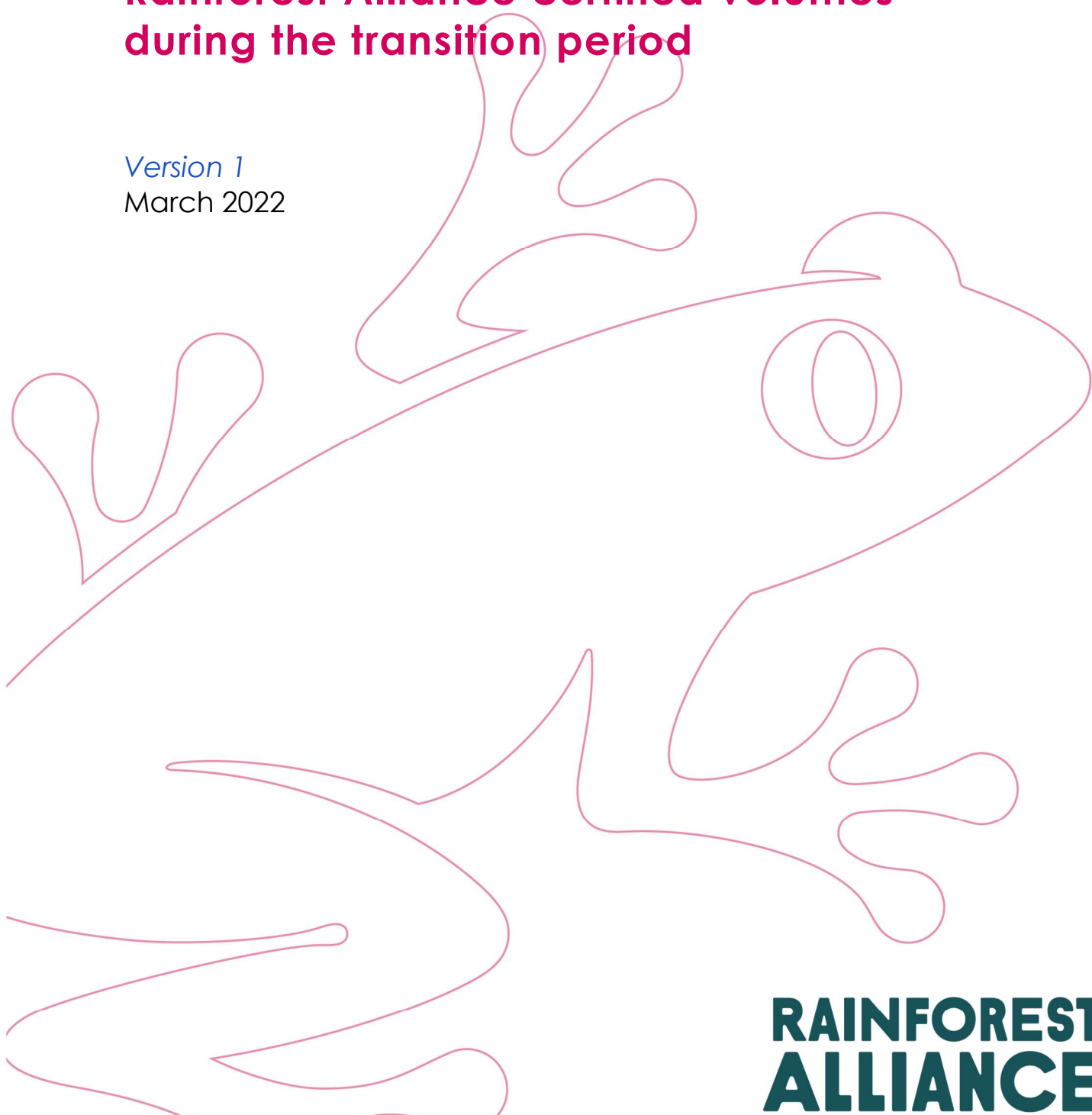


RAINFOREST ALLIANCE POLICY

**Measures to address shortages of
Rainforest Alliance certified volumes
during the transition period**

Version 1
March 2022



**RAINFOREST
ALLIANCE**



The Rainforest Alliance is creating a more sustainable world by using social and market forces to protect nature and improve the lives of farmers and forest communities.

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For any question related to the precise meaning of the information contained in the translation, please refer to the official English version for clarification. Any discrepancies or differences in meaning due to translation are not binding and have no effect for auditing or certification purposes.

More information?

For more information about the Rainforest Alliance, visit www.rainforest-alliance.org, contact info@ra.org or contact the Rainforest Alliance Amsterdam Office, De Ruijterkade 6, 1013AA Amsterdam, The Netherlands.

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Developed by:		Approved by:		
Standards and Assurance		Director of Standards and Assurance		
Linked to:				
<ul style="list-style-type: none"> SA-P-GA-11 Policy on Remote closure of Non-conformities SA-P-GA-8 Policy on Changes To Certification And Auditing Rules For Audits In The Transition Year SA-R-GA-2 Rainforest Alliance 2020 Transition Rules 				
Replaces:				
-				
Applicable to:				
UTZ and 2017 Rainforest Alliance certificate holders transitioning into the 2020 Rainforest Alliance Certification Program.				
Country/Region:				
All regions				
Crop:		Type of Certification:		
All crops		Farm certification.		

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MEASURES TO ADDRESS SHORTAGES OF RAINFOREST ALLIANCE CERTIFIED VOLUMES DURING THE TRANSITION PERIOD

- 1) In certain countries Certificate Holders are delayed in completing their transition audits. This results in significant restrictions to available volumes of Rainforest Alliance certified commodities. In these cases the Rainforest Alliance will consider requests to pre-authorise a percentage of the new harvest volumes to enable farm CH to transact certified volumes while they finalize the process to obtain their first transition certificate.
- 2) Requests for pre-authorisation of up to 50% of the estimated volume for the current harvest, with a validity of 3 months, may be made by Farm Certificate holders who:
 - a) Are currently certified under the UTZ or Rainforest Alliance 2017 certification programs;
 - b) Did not hold their audit during the required harvest period window for their crop (which is defined as from three months before to 3 months after the official start of the harvest season) but still intend to transition to the 2020 Certification Program
 - c) Have completed registration for the 2020 Rainforest Alliance certification program, including:
 - i. Definition of Certification Scope.
 - ii. Submission of Self-assessment in the RACP.
 - iii. Submission of the Group Member Registry (for groups).
 - iv. Submission of polygon data (for individual farms).
 - d) Have undergone their first transition audit and are in the process of closing non-conformities to receive their transition certificate,
 - e) Have no open non-conformities related to traceability, payment of premium, use of banned pesticides, deforestation or unremediated cases of child labour.
- 3) The Rainforest Alliance reserves the right to cancel the preauthorised volumes if the certificate holder does not close their non-conformities and receive a transition certificate within 3 months
- 4) Farm certificate holders who wish to apply for pre-authorisation of volumes under the conditions set out in clause 2 above, in order to have time to complete their transition audit, shall send the request to the CB with all required documentation set out in 2c.
- 5) The CB will submit the request to Rainforest Alliance together with the CH RA ID number and required documentation to email address cbcet@ra.org.
- 6) The Rainforest Alliance will pre-authorise volumes on a case-by-case basis following a thorough assessment of risks.
- 7) The Rainforest Alliance will pre-authorise volumes directly in the RACP.
- 8) Pre-authorised volumes will be incorporated in the total volume approved with the transition certificate once this is issued. They are not additional to the annual approved volume.
- 9) No preauthorisation of volumes will be granted for CHs doing their second transition audit.