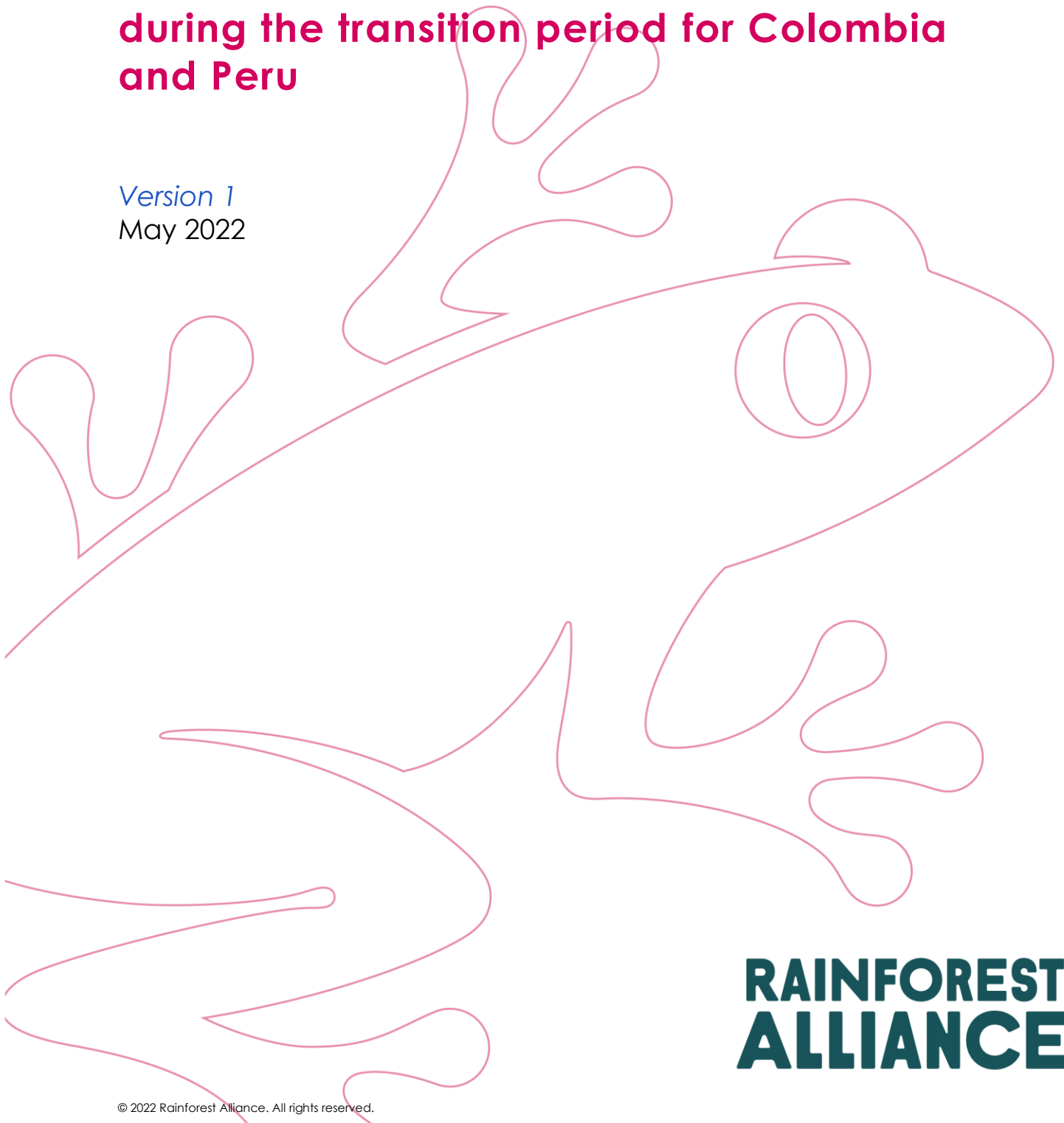


# RAINFOREST ALLIANCE POLICY

**Measures to address shortages of  
Rainforest Alliance certified volumes  
during the transition period for Colombia  
and Peru**

*Version 1*  
May 2022



**RAINFOREST  
ALLIANCE**



The Rainforest Alliance is creating a more sustainable world by using social and market forces to protect nature and improve the lives of farmers and forest communities.

### Translation Disclaimer

For any question related to the precise meaning of the information contained in the translation, please refer to the official English version for clarification. Any discrepancies or differences in meaning due to translation are not binding and have no effect for auditing or certification purposes.

### More information?

For more information about the Rainforest Alliance, visit [www.rainforest-alliance.org](http://www.rainforest-alliance.org), contact [info@ra.org](mailto:info@ra.org) or contact the Rainforest Alliance Amsterdam Office, De Ruijterkade 6, 1013AA Amsterdam, The Netherlands.

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Standards and Assurance		Director of Standards and Assurance		
<b>Linked to:</b>				
<ul style="list-style-type: none"> <li>SA-P-GA-11 Policy on Remote closure of Non-conformities</li> <li>SA-P-GA-8 Policy on Changes To Certification And Auditing Rules For Audits In The Transition Year</li> <li>SA-R-GA-2 Rainforest Alliance 2020 Transition Rules</li> </ul>				
<b>Replaces:</b>				
-				
<b>Applicable to:</b>				
UTZ and 2017 Rainforest Alliance certificate holders transitioning into the 2020 Rainforest Alliance Certification Program.				
<b>Country/Region:</b>				
Colombia and Peru				
<b>Crop:</b>		<b>Type of Certification:</b>		
Coffee		Farm certification		

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## MEASURES TO ADDRESS SHORTAGES OF RAINFOREST ALLIANCE CERTIFIED VOLUMES DURING THE TRANSITION PERIOD

- 1) In certain countries Certificate Holders are delayed in completing their transition audits. This results in significant restrictions to available volumes of Rainforest Alliance certified commodities. In these cases the Rainforest Alliance will consider requests to pre-authorise a percentage of the current 2022 harvest volumes to enable farm CH to transact certified volumes while they finalize the process to obtain their first transition certificate.
- 2) Requests for pre-authorisation of up to 50% of the estimated volume for the current 2022 harvest, with a validity of 3 months, may be made by Farm Certificate holders who:
  - a) Are currently certified under the UTZ or Rainforest Alliance 2017 certification programs;
  - b) Have completed registration for the 2020 Rainforest Alliance certification program, including:
    - i. Definition of Certification Scope.
    - ii. Submission of Self-assessment in the RACP.
    - iii. Submission of the Group Member Registry (for groups).
    - iv. Submission of polygon data (for individual farms).
  - c) Have conducted their first transition audit during the required harvest period window for their crop (which is defined as three months before and after the official start of the harvest season);
  - d) At the closing meeting of the audit, have no open non-conformities related to traceability, payment of premium, use of banned pesticides, deforestation or unremediated cases of child labour.
- 3) The Rainforest Alliance reserves the right to cancel the preauthorised volumes if the certificate holder does not close their non-conformities and receive a transition certificate within 3 months
- 4) Farm certificate holders who wish to apply for pre-authorisation of volumes under the conditions set out in clause 2 above, in order to have time to complete their transition audit, shall send the request to the CB with all required documentation set out in 2c.
- 5) The CB will submit the request to Rainforest Alliance together with the CH RA ID number and required documentation to email address [cbcert@ra.org](mailto:cbcert@ra.org).
- 6) The Rainforest Alliance will pre-authorise volumes on a case-by-case basis following a thorough assessment of risks.
- 7) The Rainforest Alliance will pre-authorise volumes directly in the RACP.
- 8) Pre-authorised volumes will be incorporated in the total volume approved with the transition certificate once this is issued. They are not additional to the annual approved volume.
- 9) No preauthorisation of volumes will be granted for CHs doing their second transition audit.
- 10) The farm certificate holder must comply with the closure of the non-conformities identified during the audit within the 10 week period set out in the Rainforest Alliance Auditing and Certification Rules and the Policy on changes to the Auditing and Certification Rules for audits in the transition year. (see <https://www.rainforest-alliance.org/resource-item/policy-on-changes-to-certification-and-auditing-rules-for-audits-in-the-transition-year/> ). Failure to comply with these rules will result in a non-



certification decision and the cancellation of the preauthorised volumes in the Rainforest Alliance Traceability System.

- 11) Farm certificate holders who do not complete the closure of their non conformities within the 10 week period will not be able to sell any volumes as Rainforest Alliance certified until they have successfully passed a new audit and received a valid certificate and licence in accordance with the Rainforest Alliance Auditing and Certification Rules.